



# *Southwest Tribal Fisheries Commission*

Resolution 01-2019

RE: Establishing a Financial Management Policy for the Southwest Tribal Fisheries Commission

At a duly called meeting of the Southwest Tribal Fisheries Commission Board of Directors on this 22<sup>nd</sup> day of August, 2019, the following resolution was adopted:

**WHEREAS**, the Southwest Tribal Fisheries Commission (SWTFC) is a coalition of southwestern Tribes, Pueblos, and Nations formed in 2002, and organized in 2007 as a non-profit corporation in the State of New Mexico, for the purpose of promoting tribal self-determination through the development of sustainable recreational and native fisheries programs on tribal lands; and

**WHEREAS**, the SWTFC By-Laws Article 6.2-part H, gives the Board of Directors the power to adopt Financial Rules for the Commission, to ensure the financial accountability of the Commission; and

**WHEREAS**, the SWTFC is required under Article 8.8 of its By-Laws to operate in a financially accountable manner, pursuant to financial rules adopted by SWTFC including but not limited to, establishment of a fiscal year, an annual budget process, accounting policies, internal controls, bonding, and a schedule for periodic accountings to members and funding sources; and

**WHEREAS**, the Executive Director of the SWTFC, with the assistance of the Officers and Financial manager has developed a Fiscal Management Policy Manual (Exhibit A) for the financial operation of the SWTFC, which includes the establishment of a fiscal year, an annual budget process, accounting policies, internal controls, bonding, and a schedule for periodic accountings to members and funding sources and other financial policies, and has provided that Manual to the Board of Directors for review and comment; and

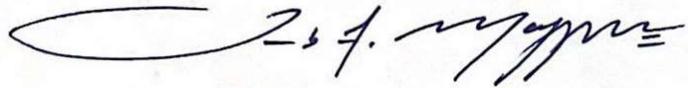
**WHEREAS**, the Board of Directors has reviewed and commented on the Financial Management Policy Manual, and the Executive Director has incorporated those changes to the satisfaction of the Board of Directors; and

**WHEREAS**, the Executive Director presented the Financial Management Policy Manual to the Board of Directors at the August 22, 2019 Board meeting where a quorum of Board Members was present.

**NOW THEREFORE BE IT RESOLVED**, that the Board of Directors approves the Financial Management Policy Manual as the financial rules of the SWTFC pursuant to Article 8 Section 8 of its by-laws.

**BE IT FURTHER RESOLVED**, that the Board of Directors directs the Chairman, Vice Chairman, Secretary Treasurer, and Executive Director to implement the Financial Policies set forth in the Manual.

**BE IF FURTHER RESOLVED**, that the Board of Directors directs the Chairman, Vice Chairman, Secretary Treasurer, and Executive Director to generate an annual budget for presentation and approval for fiscal year 2019.



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Mr. Jacob A. Mazzone, Chairman  
Southwest Tribal Fisheries Commission

#### **CERTIFICATION**

This is to certify that there were (9) of the Southwest Tribal Fisheries Commission appointed representatives of the (18) member tribes present at the above meeting, at which (9) voted for, and (0) voted against, and (0) abstained, it being a quorum and the above resolution was passed.



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Mr. Steve Whiteman, Secretary-Treasurer  
Southwest Tribal Fisheries Commission